



Box 502 1646 #7/8/01
Docket No.: BIIJ-P02-067 10-18-01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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OCT 16 2001

TECH CENTER 1600/2900

Applicant(s): Pepinsky, et al.
Application No.: 09/579, 680 ✓
Filed: May 26, 2000
For: HYDROPHOBICALLY-MODIFIED PROTEIN COMPOSITIONS AND METHODS

TRANSMITTAL OF SEQUENCE LISTING IN COMPUTER READABLE FORM

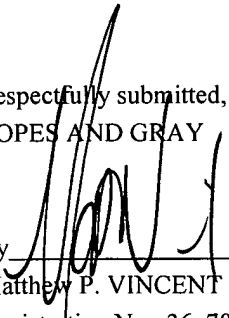
IN COMPLIANCE WITH 37 C.F.R. §§1.821(e) AND (f)

Box Sequence
Assistant Commissioner for Patents
Washington, D.C. 20231

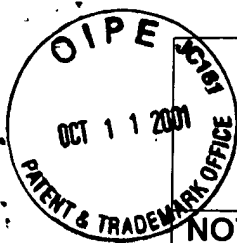
Sir:

In response to the Office Communication of September 18, 2001, transmitted herewith is a copy of the "Sequence Listing" in computer readable form as required by 37 C.F.R. §1.821(e). As required by 37 C.F.R. §1.821(f), Applicant's Attorney hereby states that the content of the "Sequence Listing" in paper form and of the computer readable form of the "Sequence Listing" are the same.

Respectfully submitted,
ROPES AND GRAY

By 
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October 9, 2001



Notice to Comply

Application N .

09/579,680

Examiner

Eileen B. O'Hara

Applicant(s)

Pepinsky et al.

Art Unit

1646

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NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant must file the items indicated below within the time period set the Office action to which the Notice is attached to avoid abandonment under 35 U.S.C. § 133 (extensions of time may be obtained under the provisions of 37 CFR 1.136(a)).

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

- ☐ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998).
- ☒ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☒ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☐ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☐ 7. Other:

Applicant Must Provide:

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☒ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (703) 308-4216

For CRF Submission Help, call (703) 308-4212

PatentIn Software Program Support

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Immunology/Plant Pre-examination Checklist

Serial Number: 09579680

☐ DISK TO STIC
☐ REQUEST TO STIC

- A. 1 ☐ ☒ ☐ Sequence in Case
 a. ☐ ☒ Paper Copy of Sequence Present
 b. ☐ ☒ Statement that Disk and Paper Copy are the Same
 2 ☐ ☐ ☒ 2964 CRF Entered
 3 ☐ ☐ ☒ Sequence Complies with Sequence Rules (If No see Attached Letter)
 4 ☒ ☐ ☐ CRF entered in parent
 5 ☐ ☐ ☒ Figures seen by draftsman
 6 ☐ ☐ ☒ Abstract
 7 ☐ ☐ ☒ Oath/Declaration in file
 8 ☐ ☐ ☒ Oath/Declaration signed by all applicants
 9 ☐ ☐ ☒ Oath/Declaration includes all residences
 10 ☒ ☐ ☐ Oath/Declaration includes Foreign continuity Data
 11 ☐ ☐ ☒ Oath/Declaration includes US continuity Data
 12 ☐ ☐ ☒ Corrected Filing Receipt Requested

B. Examiner — Please check to determine if the following are required:

- 1 ☐ ☒ ☐ Restriction Requirement
 2 ☐ ☒ ☐ Sequences in claims not in compliance with sequence rules and may be required to search case

If any of part B above are necessary, please act on and complete within 15 days.

E. Chao Reviewers Name